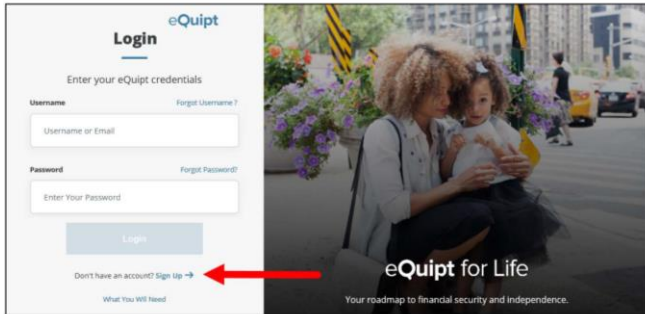


Online Access for Pershing Accounts

1. Go to <https://www.raaequipt.com/login>
2. Click on **SIGN UP** at the bottom of the screen



3. You will now be presented with a Yes/No question asking “Do you have a **NetXInvestor** login for raaview.com?”. NetXInvestor was our previous site.

• If you have been using NetXInvestor to access your accounts, select Yes and then click Continue. (Also remember to update your browser bookmarks for this website as this is the new link you will use.)

Enrollment for NetXInvestor Users

If you selected Yes to indicate that you have previously accessed your accounts on NetXInvestor:

1. Provide your NetXInvestor *User ID* and *Password*, then click **Continue**

2. Input the last 4 digits of your SSN to confirm your identity, and mark the checkbox to accept the *Terms and Conditions*. Then click **Next** to continue.

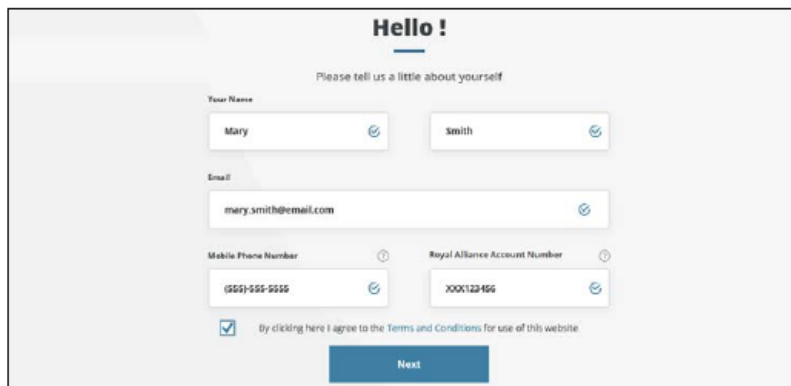
3. Provide your *Email* and *Mobile Phone Number* (used in case you need assistance in logging in) and click **Next** to continue
4. Create your new eQuipt *Username* and *Password*. The username will default to your email address, but can be changed. The password must be at least 8 characters and contain at least 1 number, 1 letter, and 1 special character. Click **Next** to complete the enrollment process. Remember to update your browser bookmark to www.raaequipt.com

• If you have not previously accessed your accounts online using NetXInvestor, select No and then click Continue.

Enrollment for New Users

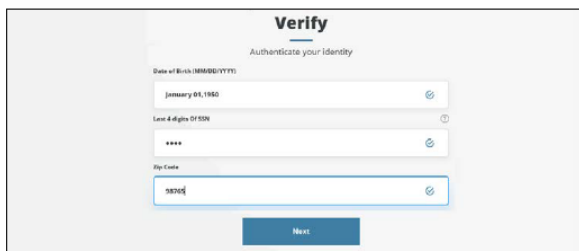
If you selected **No** to indicate that you have not previously accessed your accounts on NetXInvestor:

1. Input your *Name*, *Email*, *Mobile Phone Number* (used in case you need assistance in logging in), and *Royal Alliance Account Number* (if you have multiple accounts you only need to input one; eQuipt will automatically link all accounts for your SSN). Mark the checkbox to accept the *Terms and Conditions* and click **Next**.



The screenshot shows a web form titled "Hello !" with the instruction "Please tell us a little about yourself". The form contains the following fields: "Your Name" with two input boxes containing "Mary" and "smith"; "Email" with an input box containing "mary.smith@email.com"; "Mobile Phone Number" with an input box containing "(555)-555-5555"; and "Royal Alliance Account Number" with an input box containing "XXX123456". There is a checkbox labeled "By clicking here I agree to the Terms and Conditions for use of this website" which is checked. A blue "Next" button is at the bottom.

2. On the next page verify your identity by inputting your *Date of Birth*, *Last 4 Digits of SSN*, and *Zip Code* and click **Next**



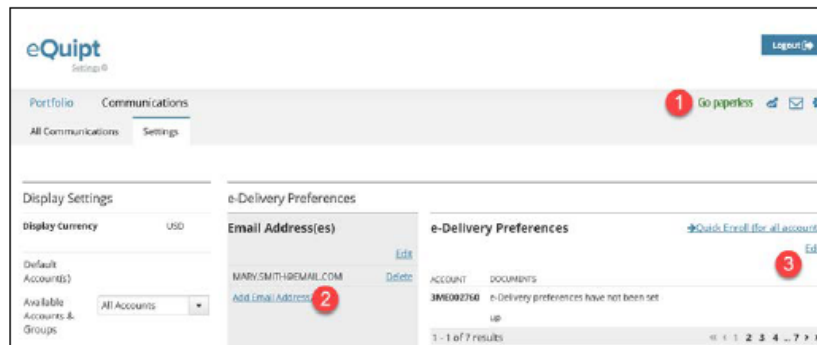
The screenshot shows a web form titled "Verify" with the instruction "Authenticate your identity". The form contains the following fields: "Date of Birth (MM/DD/YYYY)" with a dropdown menu showing "January 01, 1950"; "Last 4 digits of SSN" with an input box containing "****"; and "Zip Code" with an input box containing "98101". A blue "Next" button is at the bottom.

3. On the last page create your new eQuipt *Username* and *Password*. The username will default to your email address, but can be changed. The password must be at least 8 characters and contain at least 1 number, 1 letter, and 1 special character. Click **Next** to complete the enrollment process. Remember to add eQuipt to your browser's Favorites list- www.raaequipt.com

eDelivery Preferences

You have the option of receiving account communications (e.g. statements, trade confirmations, tax documents, etc.) electronically rather than in paper. To receive electronic communications, you must first select eDelivery preferences in eQuipt:

- If you **were** a previous NetXInvestor user, your eDelivery preferences will transfer from NetXInvestor into eQuipt, though you can update them at any time.
- If you **were not** a previous NetXInvestor, when you complete your eQuipt enrollment you will immediately be presented with the option to select eDelivery preferences. You can do so by selecting **Update Preferences**, or select **Remind Me Later** or **No Thanks**.

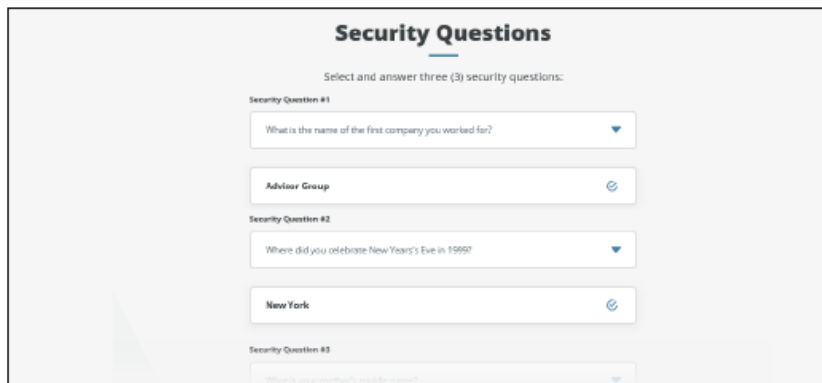


1. The eDelivery settings can also be accessed at any time by clicking the **Go Paperless** banner in the top right of the screen (#1 in screenshot above)
2. Click **Add Email Address(es)** to input up to 2 email addresses (#2 in screenshot above).
3. Click **Edit** in the eDelivery Preferences section of the screen (#3 in screenshot above) to select which communications should be sent electronically. If you have multiple accounts, you can utilize the **Quick Enroll** option instead to apply the same preferences to all accounts.
4. Account communications will display in the **Communications** tab (shown below). Note the filters on the left for Statements, Trade Confirmations, etc.



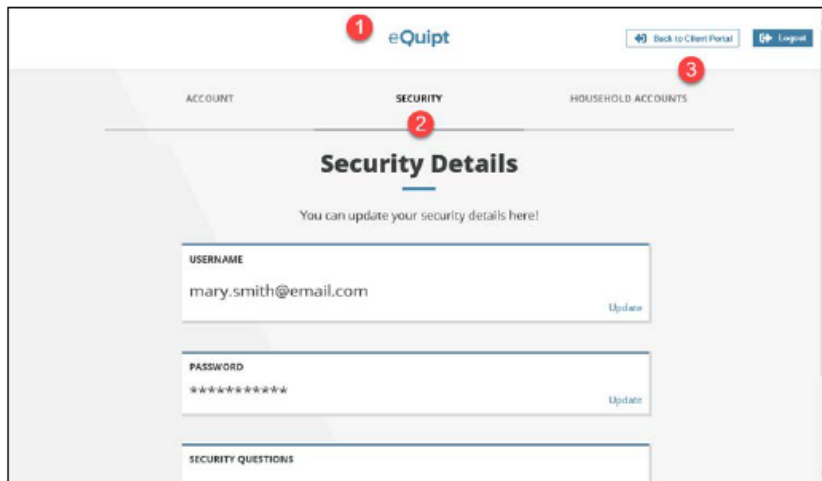
Security Questions

After enrolling in eQuipt, in a subsequent login you will be prompted to select 3 security questions from a menu of options, and input an answer for each selected question. This provides an additional security layer that can be used in case you ever forget your login credentials.



The screenshot shows a web form titled "Security Questions". Below the title, it says "Select and answer three (3) security questions:". There are three sections, each labeled "Security Question #1", "#2", and "#3". Each section has a dropdown menu for selecting a question and a text input field for the answer. The first question is "What is the name of the first company you worked for?" with the answer "Adviser Group". The second question is "Where did you celebrate New Year's Eve in 1999?" with the answer "New York". The third question is partially visible as "What is your mother's maiden name?".

Security questions can also be set up and/or updated by accessing the eQuipt Settings screen:

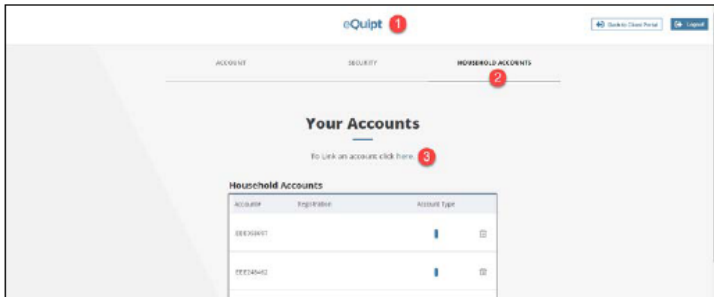


The screenshot shows the "Security Details" page in the eQuipt settings. At the top, there is a navigation bar with the eQuipt logo (marked with a red #1), a "Back to Client Portal" button (marked with a red #3), and a "Logout" button. Below the navigation bar, there are three tabs: "ACCOUNT", "SECURITY" (marked with a red #2), and "HOUSEHOLD ACCOUNTS". The "SECURITY" tab is active, showing the "Security Details" section. Below the title, it says "You can update your security details here!". There are three sections: "USERNAME" with the value "mary.smith@email.com" and an "Update" button; "PASSWORD" with masked characters "*****" and an "Update" button; and "SECURITY QUESTIONS" which is currently empty.

1. Click the **eQuipt** logo at the top of any screen (#1 in screenshot above)
2. Select the **Security** tab (#2 in screenshot above). This is also where you can update your username, password, emails, and phone numbers.
3. Once you have made your changes, click **Back to Client Portal** (#3 in screenshot above) to return to the eQuipt account screens.

Accessing Multiple Accounts

eQuipt automatically provides you with access to all accounts established under your SSN, using a single login. Additional accounts in your household (spouse, children, trust, business, etc.) can be linked to your login as well:



1. Click the eQuipt logo at the top of any screen (#1 in screenshot above)
2. Select the Household Accounts tab (#2 in screenshot above)
3. Click To Link an account click here. (#3 in screenshot above)

Next you will be asked to provide information about the account being linked (see below) including its *Royal Alliance Account Number*, *Last 4 digits of SSN*, *Date of Birth*, and *Zip Code*. Click the **Link** button to link the account.

Login Assistance

If you ever forget your username or password, note the **Forgot Username?** and **Forgot Password?** links on the eQuipt login screen (www.raaequipt.com):



- **Forgot Username?**- simply input your email address and click **Next** to have the username emailed to you
- **Forgot Password?**- here you will be prompted to first provide your username and click **Continue**. On the following screen (shown below) you will have the option of receiving a security code via text or email, or by answering a security question (note if security questions have not yet been set up, only the text and email options will be available). In all 3 options, you will have 10 minutes to provide the correct security code/ answer. Once they have provided the correct information, you will be able to set up a new password.

